

Appendix 1: Summary: Progress against Audit Wales Programme

2019-20 Performance Audit Work					
Title	Overview	Status	Progress (RAG)		Progress (Open/Closed)
Leisure Services	Examination of the extent to which the Council has acted in accordance with the sustainable development principle when taking steps to meet its Priority for 2019-20 to Support Sports, Leisure, Culture and Green Spaces.	Final report issued November 2020	Recommendations:	6	Open: 6 Closed: 0
			Green	3	
			Amber	2	
			Red	1	
Financial Sustainability	A project common to all local councils that will assess financial sustainability in light of current and anticipated future challenges.	Final report issued 3 rd April 2020.	Recommendations:	2	Open: 2 Closed: 0
			Green	2	
			Amber	0	
			Red	0	
Waste Management/re cycling	A project to look at the pressures in this area and understand the Council's plans to improve performance.	Draft letter issued 12 th June 2020	Recommendations: 0		Recommendations: 0
Annual Improvement Report (AIR)	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'				
Assurance and risk assessment	Project to identify the level of audit assurance and/or where further audit work may be required in future years in relation to risks to the Council putting in place proper arrangements to secure value for money in the use of resources.	Presentation and discussion at Senior Management Team January 2020.	N/A		N/A
Improvement Plan audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Final Certificate issued 11 th April 2019	N/A		N/A
Performance audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives. Final Certificate issued 20 th August 2019	Final Certificate issued 20 th August 2019	N/A		N/A

2018-19 Performance Audit Work

Corporate safeguarding arrangements	Review of the effectiveness of corporate safeguarding arrangements building on the study previously undertaken by the Auditor General in this area.	Final report issued 27 th June 2019 Presented to CYP Scrutiny Committee 24 th September 2019	Recommendations:	5	Open: 4 Closed: 1
			Green	1	
			Amber	4	
			Red	0	
Transport and Clean Air Vision	Examination of the extent to which the Council has acted in accordance with the sustainable development principles when taking the following step: "Develop and launch a new Transport and Clean Air Vision for the City" which sits under the Council's Well-being objective of 'Cardiff grows in a resilient way'.	Final report issued 22 nd July 2019	Recommendations:	15	Open: 11 Closed: 4
			Green	15	
			Amber	0	
			Red	0	
Environmental health	Review of the arrangements the Council has put in place to deliver environmental health services building on the study previously undertaken by the Auditor General as part of the 'delivering with less' themed studies. The review will seek to answer the question: Is the Council's environmental health service continuing to deliver its statutory obligations given the financial challenges?	Final report issued 17 th September 2019.	Recommendations:	9	Open: 8 Closed: 1
			Green	1	
			Amber	8	
			Red	0	
Delivering Capital Ambition	Review to consider whether the Council's arrangements to support the delivery of its four-year 'Capital Ambition' Delivery Programme enabling it to address its key financial and organisational challenges?	Final report issues 29 th April 2019	Recommendations:	3	Open: 0 Closed: 3
			Green	3	
			Amber	0	
			Red	0	
Annual Improvement Report (AIR)	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Presented to AC	N/A		N/A
Improvement Plan audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Certificate issued.	N/A		N/A
Performance audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives. Final Certificate issued 20 th August 2019	Certificate issued.	N/A		N/A

2017-18 Performance Audit Work

Scrutiny: Fit for the Future	National review that explored arrangements for scrutiny in all 22 local authorities.	Final report issued July 2018	Recommendations:	5	Open: 2 Closed: 3
			Green	3	
			Amber	2	
			Red	0	
Improvement Plan audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Certificate issued.	N/A		N/A
Performance audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives. Final Certificate issued 20th August 2019	Certificate issued.	N/A		N/A